

Docket No.: 50212-362

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of

Michiko TAKUSHIMA, et al.

Serial No.: 10/088,300

Group Art Unit:

Filed: March 18, 2002

Examiner:

For: METHOD OF MANUFACTURING OPTICAL LOSS FILTER, AND OPTICAL LOSS FILTER

REQUEST FOR OFFICIAL FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

The above-referenced patent application was filed in the U.S. Patent and Trademark Office on March 18, 2002, as evidenced by the attached copies of the return receipt postcard and Transmittal Letter. To date, the undersigned has not received an official Filing Receipt regarding this application.

It is respectfully requested that the undersigned be furnished with an official Filing Receipt as soon as possible.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Arthur J. Steiner  
Registration No. 26,106

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Date: December 23, 2002




Applicant: Michiko TAKUSHIMA, et al. Docket No. 50212-362 10/000000  
Title/Mark: METHOD OF MANUFACTURING OPTICAL LOSS FILTER, AND OPTICAL LOSS FILTER Serial/Reg./Patent No. Not Yet Assigned  
Date Sent: 03/18/2002 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ Express Mail No  
☒ Transmittal Letter  
New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ CPA ☐ RCE ☐ Prov  
☒ Other: National Phase  
45 pages of Specification  
6 pages of Claims  
1 pages of Abstract  
17 pages of Formal Drawings  
☐ Small Entity ☒ Large Entity  
☐ Declaration/Power of Attorney  
☐ Recordation of Assignment/Security Agreement  
☒ Information Disclosure Statement  
1 Form PTO 1449  
0 copies of cited references  
☒ Preliminary Amendment  
☐ Response to Missing Parts Notice  
☐ Resp. to Notice to Correct App. Papers  
☐ Certified Copy of Priority Doc.  
☐ Claim for Convention Priority  
☐ Response/Amendment to Office Action of  
☐ Request for month Extension of Time  
☐ Letter submitting pages of drawings  
☐ Req. for Approval of Drawing Amendments  
☐ Req. for Oral Hearing  
☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief  
☐ Rule 312 Amendment/Letter  
☐ Req. for Acknowledgement of Cited Art  
☐ Issue Fee  
☐ Publication Fee  
☐ Req. for Certificate of Correction  
☐ Maintenance Fee for years after grant  
☐ Fee Address Indication Form  
☐ Terminal Disclaimer  
☐ Petition to Commissioner  
☐ Status Inquiry  
Other International Search Report prepared by the Japanese Patent Office, Front Page of the Published International Application, Form PCT/IB/304, 308  

1010K000.0000 18 MAR 2002

Check for \$	<input checked="" type="checkbox"/> Charge Deposit Acct 500417 \$	1020.00	Atty Init.	AJS	Tkpr. #	4236	Secy. or PL	ML. White
CMS Descr.: 1-890.00		5-130.00		Early Serial Number				
THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.								

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☐ Claim for Convention Priority  
☐ Response/Amendment to Office Action of  
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☐ Letter submitting pages of drawings  
☐ Req. for Approval of Drawing Amendments  
☐ Req. for Oral Hearing  
☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief  
☐ Rule 312 Amendment/Letter  
☐ Req. for Acknowledgement of Cited Art  
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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

50212-362

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/JP00/06442

INTERNATIONAL FILING DATE

September 20, 2000

PRIORITY DATE CLAIMED

September 20, 1999

TITLE OF INVENTION

METHOD OF MANUFACTURING OPTICAL LOSS FILTER, AND OPTICAL LOSS FILTER

APPLICANTS FOR DO/EO/US

Michiko TAKUSHIMA, Masakazu SHIGEHARA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information.
  - International Search Report prepared by the Japanese Patent Office
  - Front Page of the Published International Application
  - Form PCT/IB/304, 308

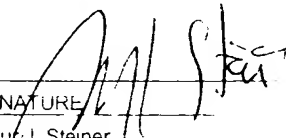


20277

PATENT TRADEMARK OFFICE

U.S. APPLIC. NO. (if known, see 37 CFR 1.50)		INTERNATIONAL APPLICATION NO. PCT/JP00/06442		ATTORNEY'S DOCKET NUMBER 50212-362	
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				CALCULATIONS	PTO USE ONLY
17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO <span style="float:right">\$890.00</span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) <span style="float:right">\$710.00</span> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) <span style="float:right">\$740.00</span>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO <span style="float:right">\$1,040.00</span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) <span style="float:right">\$100.00</span>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	3    -20 =	0	x \$18.00	\$0.00	
Independent Claims	16    -3 =	0	x \$84.00	\$0.00	
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$0.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$0.00	
<b>SUBTOTAL =</b>				\$1020.00	
Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$ 0.00	
<b>TOTAL NATIONAL FEE =</b>				\$1020.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$1020.00	
				Amount to be: refunded	\$
				charged	\$1020.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>1020.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:  McDERMOTT, WILL & EMERY 600 13 <sup>th</sup> Street, N.W. Washington, DC 20005-3096 (202) 756-8000 Facsimile (202) 756-8087					
				SIGNATURE  Arthur J. Steiner	
				NAME 26,106	
				REGISTRATION NUMBER <b>March 18, 2002</b>	
				DATE	